



Belgische Vereniging voor Cardio-thoracale Heelkunde (BVCTH)  
Societe Belge de Chirurgie Cardio-thoracique (SBCCT)  
Belgian Association for Cardio-Thoracic Surgery (BACTS)

# *Report of the database committee “Improving the quality of care through better data registration”.*

May 12th, 2011

BACTS Database Committee

Belgian Surgical Week, Oostende



Royal Belgian Society for Surgery (RBSS) npa  
Koninklijk Belgisch Genootschap voor Heelkunde (KBGH) vzw  
Société Royale Belge de Chirurgie (SRBC) asbl

in collaboration with  
BAAS, BACTS, BAST, BELAPS, BGES, BSSO, BSVS, BTS, CC  
and the sections of RBSS: BSAWS, BSBS, BSCRS, BSES, BSHBPS, BSUGIS, BeSOMS

**TWELFTH BELGIAN SURGICAL WEEK**  
Ostend, Thermae Palace Hotel  
11<sup>th</sup>-12<sup>th</sup>-13<sup>th</sup>-14<sup>th</sup> May 2011



GOOD CLINICAL PRACTICE AND RIGHT INDICATIONS  
IN SURGERY: A MATTER OF ETHICS OR ECONOMICS

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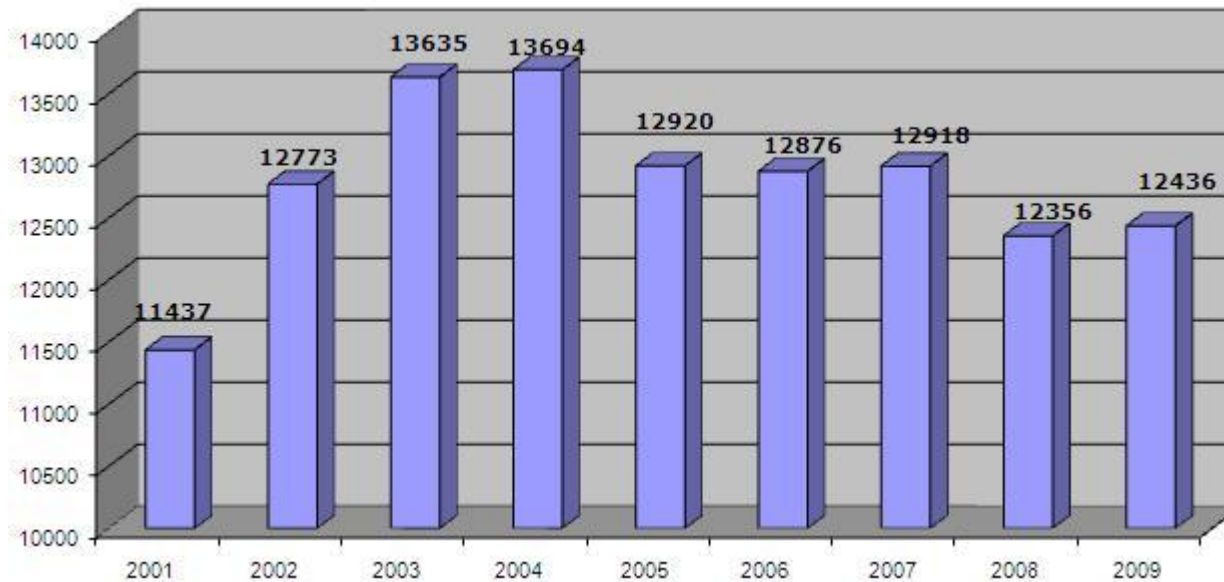
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## Database Roster

[BACTS Home](#) » [BACTS Committees](#) » Database

OFFICE	NAME	TERM OF OFFICE
Chair	<a href="#">Bernard A. Stockman</a>	
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Member	<a href="#">Constantin Stefanidis</a>	
Member	<a href="#">Yves Victor Van Belleghem</a>	
Member	<a href="#">Carine M. Vandeweyer</a>	

# Overview activity 2001-2009

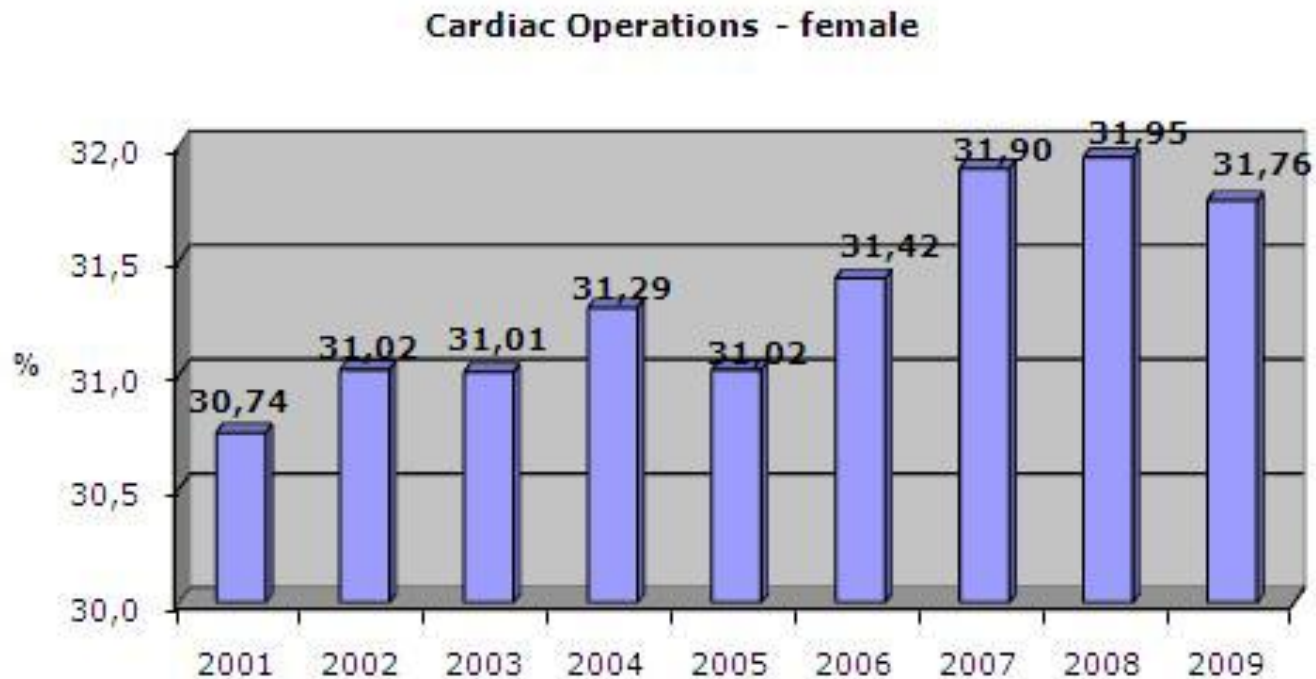


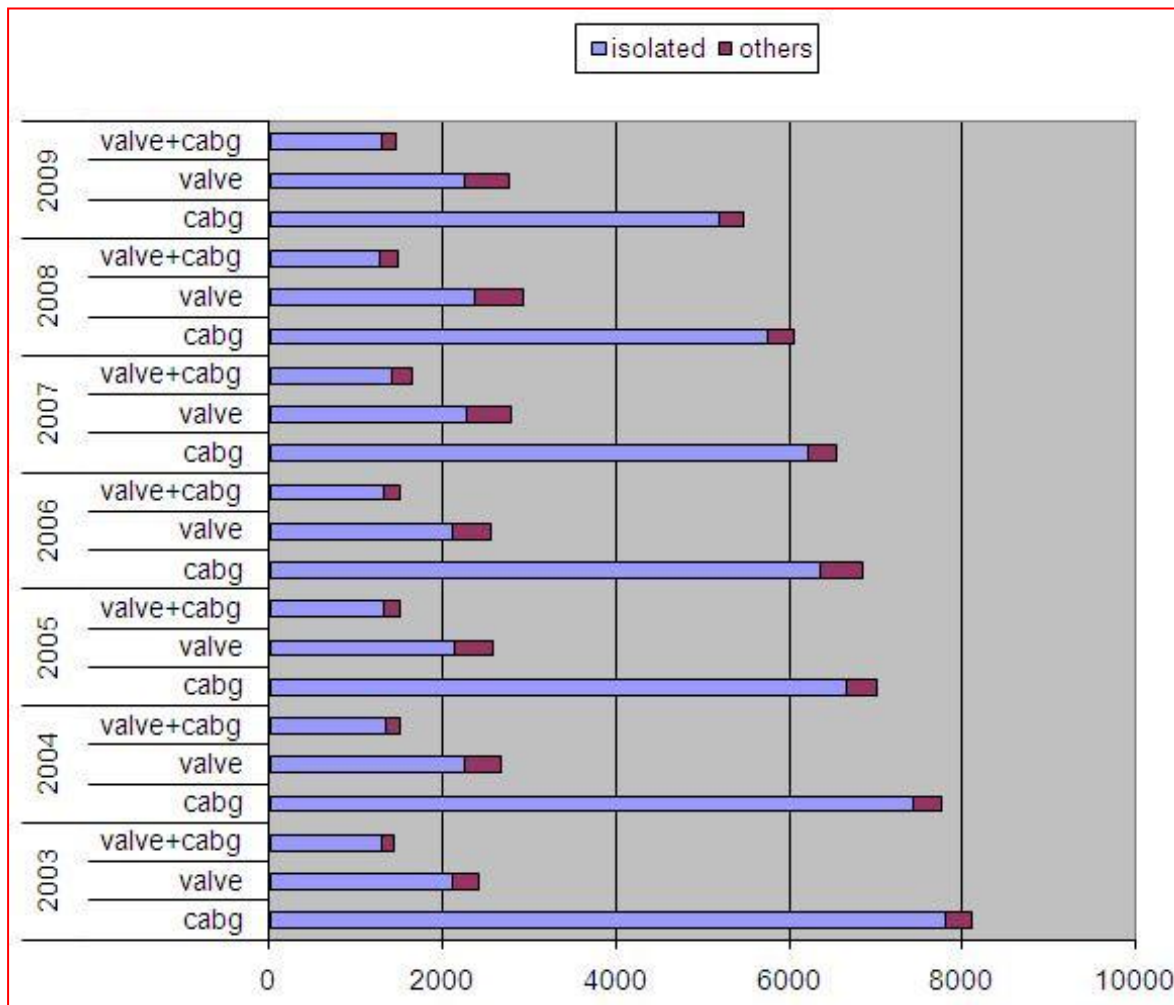
Number of cardiac operations

28 centres

2008: 1 centre missing

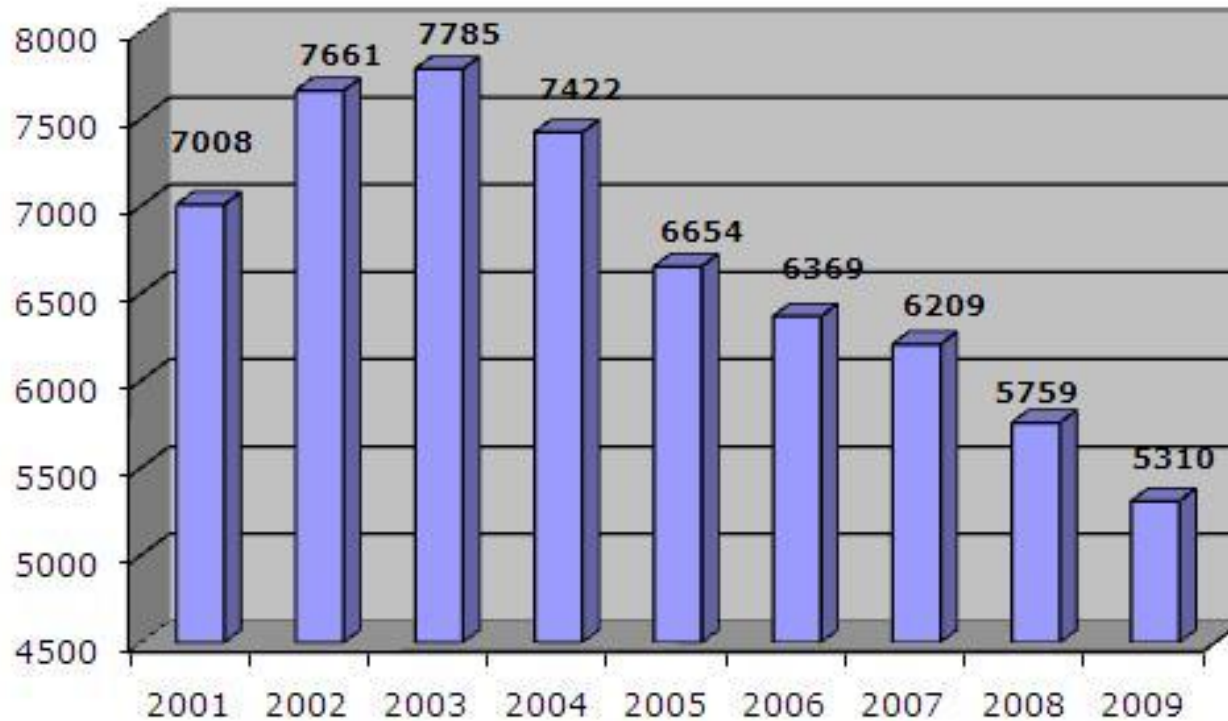
# Overview activity 2001-2009





	2001	2002	2003	2004	2005	2006	2007	2008	2009
isolated CABG	7012	7582	7795	7432	6665	6369	6209	5760	5196
CABG + other	257	309	301	312	330	358	341	304	276
valve only	1673	1914	2120	2244	2127	2118	2273	2388	2249
Valve + other	209	300	273	403	427	441	514	550	509
valve + CABG	859	1068	1299	1341	1322	1325	1417	1267	1285
valve + CABG + other	66	120	137	153	174	177	217	206	180
thoracic aorta	<b>304</b>	<b>368</b>	<b>439</b>	<b>468</b>	<b>445</b>	<b>593</b>	<b>542</b>	<b>544</b>	<b>544</b>

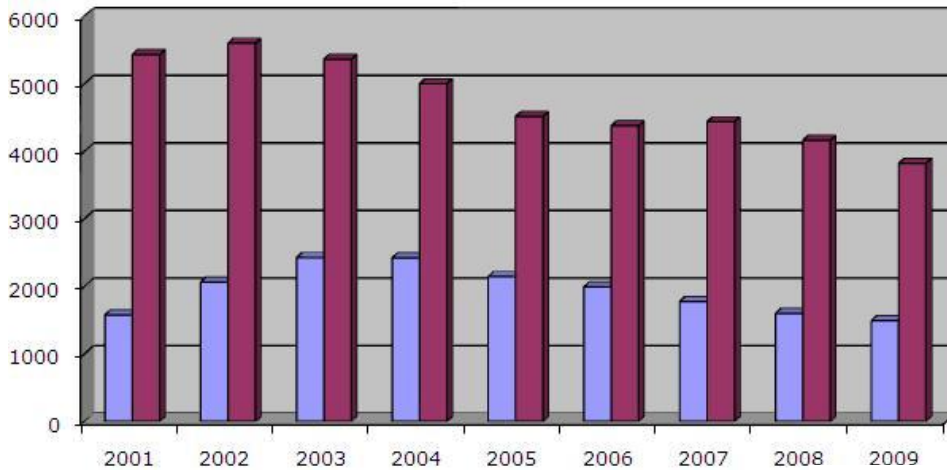
# Overview activity 2001-2009



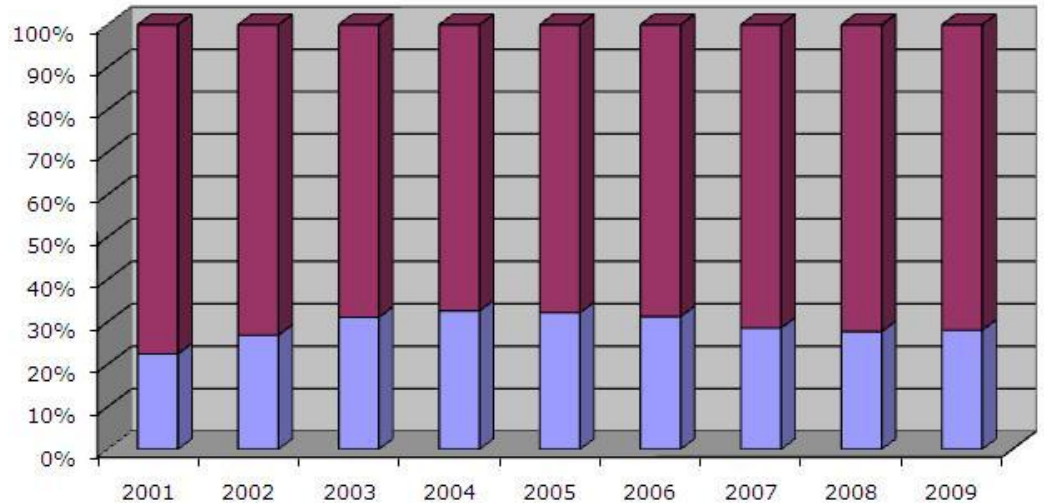
Isolated CABG

# Overview activity 2001-2009

■ off-pump ■ on-pump



■ off-pump ■ on-pump



CABG: on-pump / opcab

# 2008 report

[www.bacts.org](http://www.bacts.org)

**BACTS  
Cardiac Surgical  
Database Report**

**FINAL REPORT 2008**



Compiled by  
**BACTS DATABASE COMMITTEE**

Version 11.02.2011



## MEMORY OF UNDERSTANDING

# The purpose of the Database Committee is

- To **create, maintain and analyse** a registry of the cardio-thoracic surgical activity in Belgium.
- To create **therapeutic or epidemiological studies** involving the cardio-thoracic therapy, with the intention to improve the quality of care
- The database will **never** serve to rank centres or surgeons, will never participate in malpractice investigation or conformity checking with legal requirements of centres and surgeons.

## MEMORY OF UNDERSTANDING

# Confidentiality

- All members of the committee, including the data manager and the data analyst are under the **medical secret**. The database is **protected by secret entry-codes**. In addition the names of the centres and the RIZIV/INAMI numbers are recoded into secret codes. The password and codes are kept in a sealed envelope with the chairman of the database committee. **No database committee chairman or member has access to the actual identification of the centre or the surgeon**. The Law on the Medical Secret: data cannot and should not be transferred to any third party, e.g. council of BACTS, Health authorities, industry. There are two exceptions: (1) there is a database-specific law ordering the transfer of these data; (2) all parties or centres give their written permission for each specific output

## MEMORY OF UNDERSTANDING

# Confidentiality

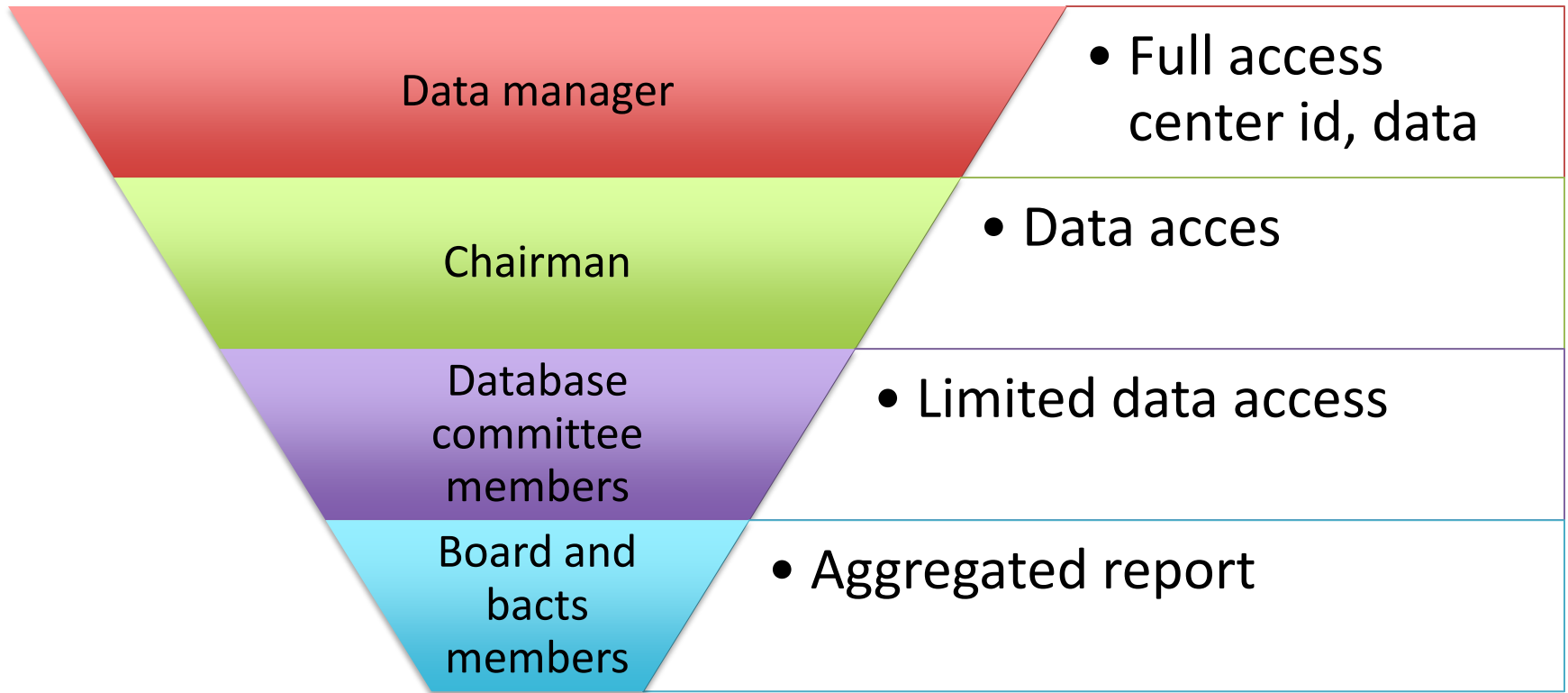
- No centre- or surgeon-specific information can be given to any third part outside the database committee without the written permission of the chair. No centre-, nor surgeon- identified information of the centre or the individual surgeon. can be looked into by the members of the database committee.

## MEMORY OF UNDERSTANDING

# The access to the data

- The access to the data has **three levels**. The first two levels concern the Database Committee members.
  - The first level is **unrestricted**. This access is given to the chairman of the database committee, the data-analyst and the data manager.
  - The second level is **restricted to a “need to know level”**, defined by the committee and this access is given to all the members of the committee.
  - The third level is restricted to the centre's own data. This access is given to the Chairman of the center. This access is unrestricted in time but limited to the data of the center.

# Data access



Memory of understanding

## Confidentiality

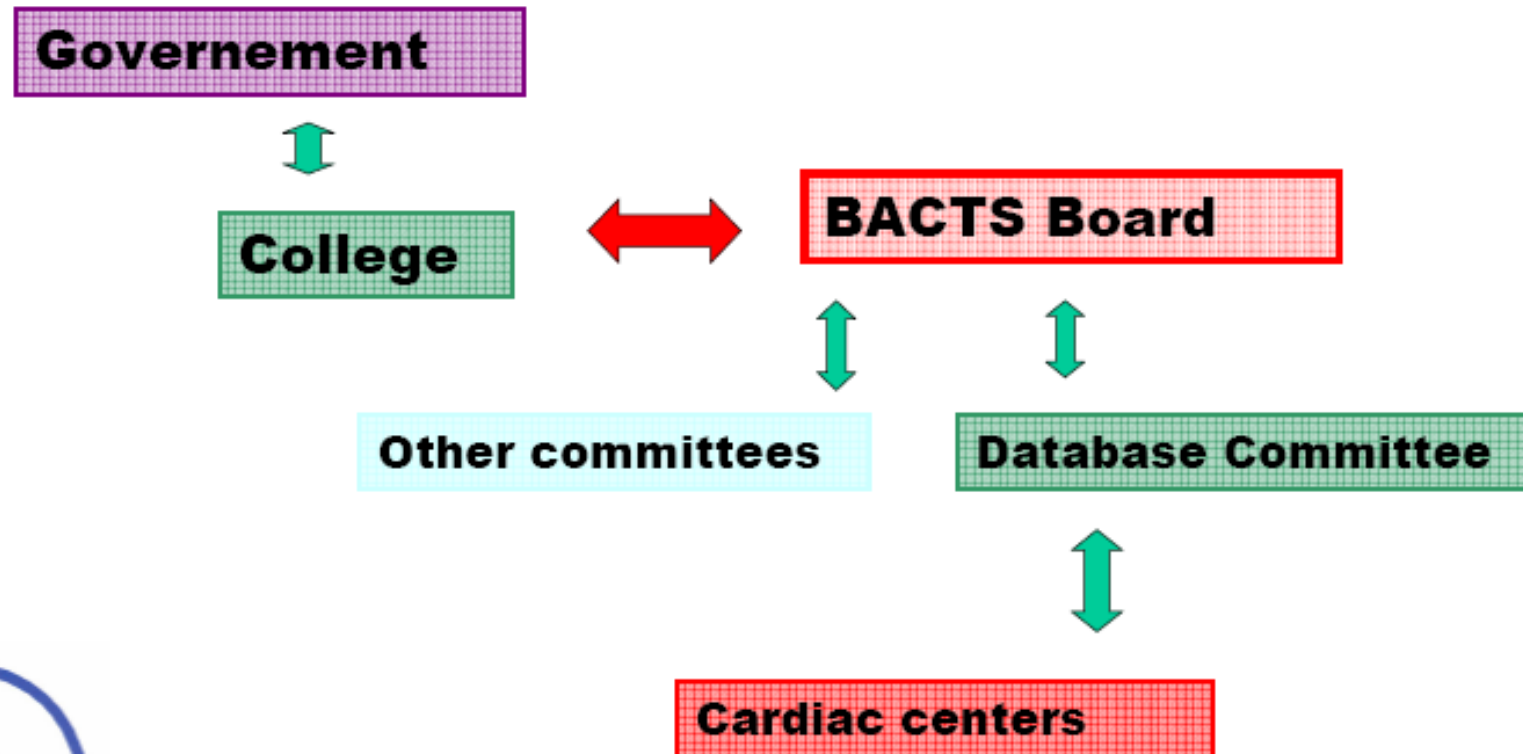
Patient anonymity is guaranteed

Center/surgeon anonymity is guaranteed

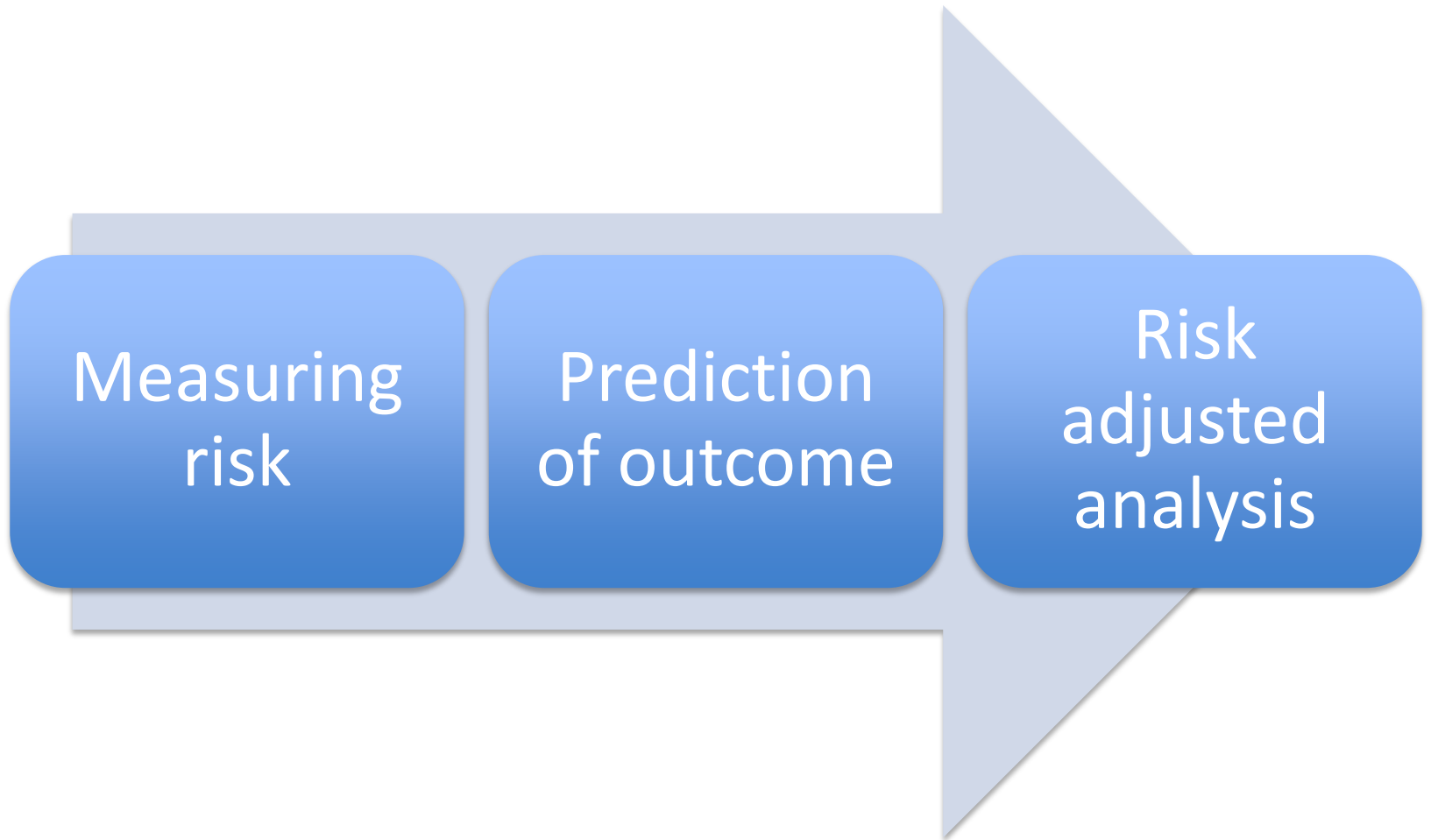


# In practice: BACTS - College - DBC

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# Quality control





# Risk-adjustment algorithm

- Risk factors
- Weighting of factors
- Validation of risk model
  - EuroSCORE
  - STS-score

# EACTS

## Adult Cardiac Surgery Database Version 1.0

- Hospitalization
- Cardiac History
- Previous Interventions
- Pre-operative risk factors
- Pre-operative hemodynamics and catheterization
- Pre-operative status and support
- Operation – procedural factors
- Perfusion and myocardial protection
- Post-operative complications
- Discharge details



The European Association for Cardio-Thoracic Surgery  
Fourth Adult Cardiac Surgical Database Report 2010

The EACTS database form

**The European Association for Cardio-Thoracic Surgery  
Adult Cardiac Surgical Database  
Version 1.0; page 1**

Unique patient identifier

Date-of-birth

Gender  Male  Female  Unknown

**Initial registry data**

**Hospitalisation**

Country code

Hospital code

Date-of-admission

Date-of-operation

Date-of-discharge / Date-of-death

**Cardiac history**

Angina (CCS class)  CCS 0  CCS 1  CCS 2  CCS 3  CCS 4

Dyspnoea (NYHA grade)  NYHA 1  NYHA 2  NYHA 3  NYHA 4

Number of previous myocardial infarctions  None  One  Two or more  Unknown

Most recent myocardial infarction  No MI  < 6 hours before operation  6-24 hours before operation  1-7 days before operation  8-21 days before operation  22-90 days before operation  >90 days before operation

Congestive heart failure  No  Yes

**Previous interventions**

Previous PCI  No PCI  PCI < 24 hours before surgery  PCI > 24 hours before surgery; same admission  PCI > 24 hours before surgery; previous admission

Date of last PCI

Previous cardiac, vascular or thoracic surgery  None  CABG  Valve  Other

Date of last cardiac surgery

Designed by Dendrite Clinical Systems


This form is laid out so that questions requiring a single response are identified by round radio buttons next to the options, whereas questions where more than one response may be selected are identified by square tick-boxes next to the options.

# EACTS

## Adult Cardiac Surgery Database Version 1.0

- 86 fields
- Postoperative complications
  - Re-operation
  - New post-operative stroke
  - New post-operative dialysis
  - Multi-system failure
- Discharge details
  - Date of discharge/death
  - Destination on discharge
  - Patient status at discharge
  - Primary cause of death

**The European Association for Cardio-Thoracic Surgery**  
**Adult Cardiac Surgical Database**  
Version 1.0; page 8



Unique patient identifier

Date-of-surgery

Re-operation

New post-operative stroke  None  Transient  Permanent

New post-operative dialysis  No  Yes

Multi-system failure  No  Yes

Destination on discharge

Patient status at discharge  Alive  Deceased

Primary cause of death


**Post-operative complications**

- No re-operation required
- Re-operation for graft problems
- Re-operation for valve problems
- Re-operation for bleeding / tamponade
- Sternal resuturing for any reason
- Re-operation for other cardiac problems

**Discharge details**

- Not applicable - patient deceased
- Home
- Convalescence / Nursing home
- Another unit within the same hospital
- Another hospital

- Not applicable
- Cardiac
- Neurological
- Renal
- Vascular
- Infection
- Pulmonary
- Valvular
- Other

Designed by  
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This form is laid out so that questions requiring a single response are identified by round radio buttons next to the options, whereas questions where more than one response may be selected are identified by square tick-boxes next to the options.

# BACTS 2012 Registry

- Based on EACTS version 1.0
  - No update announced
  - limitations
- Euroscore 2010 modifications not incorporated yet
- Software: datafile in Filemaker Pro

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The new BACTS-2012 Registry is a copy of the EACTS's Adult Cardiac Surgery Database (Version 1.0). No update of the EACTS-dataset has been announced for the near future.

There are some minor modifications of the original EACTS-dataset.

The BACTS 2012-Dataset will be a significant improvement compared to the BACTS-CPT registration.

However, we realize that this dataset has limitations and cannot fulfill the data-needs for every cardiac surgeon.

We provide a FileMaker Pro application for the BACTS 2012 Registry. With this application the Excel-file for data-submission can be generated.

The FileMaker Pro application has some extra fields, that are not included in the BACTS 2012 Registry Dataset but that have been added for convenience.

Centres are free to use the FileMaker Pro application. Also other software can be used to generate the Excel-file.

The EuroSCORE 2010 changes or not incorporated yet.

The BACTS 2012 registry is designed for adult cardiac surgery. All congenital cardiac surgery should be reported in the EACTS Congenital Database: [www.eactskongenitaldb.org](http://www.eactskongenitaldb.org)

Here you will find the beta-versions of the dataset, data-specifications and the FP-application. You also will find an example of a Data Collection Form (DCF). Please contact the data-manager for the login and password of the FP-application. You can download a trial-version of Filemaker Pro at <http://www.filemaker.com/be/> to evaluate this software. This beta-version is for evaluation only, it will be impossible to export/import the data from this version into the final version.

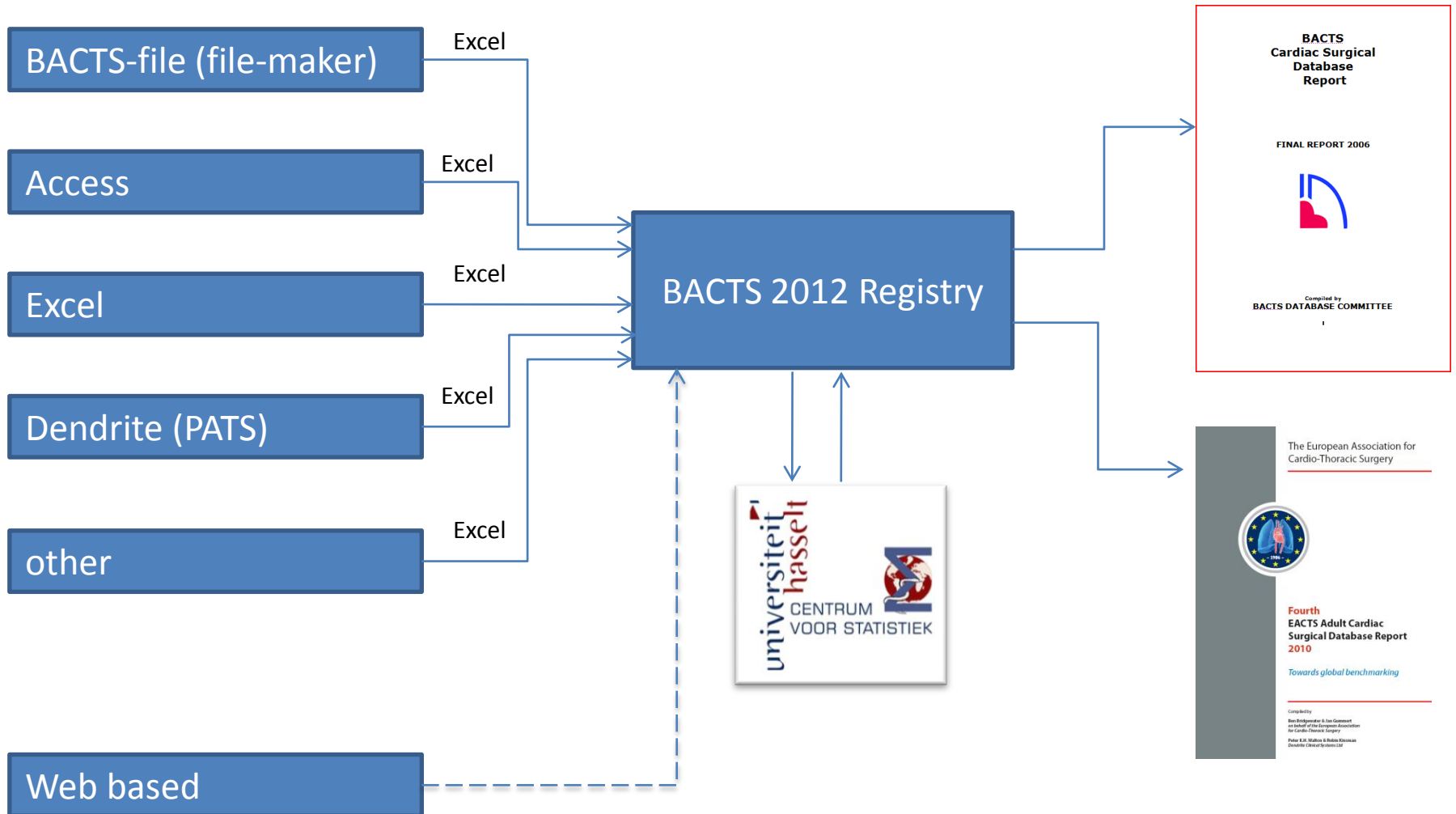
- [Data Collection Form \(DCF\) \[word / pdf\]](#)
- [Data Specifications](#)
- [FilemakerPro-application \(beta-version\)](#)
- [Registry Concept](#)
- [Improving the quality of care through better data-registrations](#)

The new BACTS 2012 Registry will go live the 1st of January 2012.

The BACTS Database Committee

# BACTS 2012 Registry concept

proces of data merging and analysing



# BACTS 2012 Registry software

- Filemaker Pro 11
  - Empty database
  - Export function to Excel
  - Expandable with TAVI, Afib, ...
- Stand alone version
- Hospital network
  - Filemaker server and Filemaker Pro licenses
- External IT company support



# Belgian Association for CardioThoracic Surgery

## BACTS Cardiac Database

 [List of patients](#)

 [Generating Excel-file](#)

**Patient:**

Surname:  Gender:  M  F

Name:  Date of birth:

ID number:

Date of admission:

Date of operation:

Date of discharge/death:

Surgeon 1:

Surgeon 2:

[BACTS-data](#) | [TAVI-data](#) | [AFib-data](#) | [Personal data](#)

[Preoperative data](#) | [Operative data](#) | [Postoperative data](#)

[Cardiac History](#) | [Previous Intervention](#) | [Risk Factors for CAD](#) | [Comorbidity](#) | [Haemodynam. and Catheterisation](#) | [Preop Status and Support](#) | [EuroSCORE](#)

Angina Status:

Dyspnoea:

Most recent myocardial infarction:

Number of previous myocardial infarctions:

Congestive heart failure:

( A low EF alone, without clinical evidence of heart failure does not qualify as heart failure )

### Myocardial infarction

- Two of the following four criteria are necessary:
1. Prolonged (>20min) typical chest pain not relieved by rest and/or nitrates
  2. Enzyme level elevation: either - CK-MB > 5% of total CPK
    - CK greater than twice normal
    - LDH subtype 1 > LDH subtype 2
    - Troponin > 0,2 µg/ml
  3. Any wall motion abnormalities as documented by LV Gram, Echo and/or EF < 45%
  4. Serial ECG (at least 2) showing changes from baseline or serially in ST-T and/or Q waves that are 0,03 s. in width and/or  $\geq 1/3$  of the total QRS complex in 2 or more contiguous leads.





## BACTS Cardiac Database

**Patient:** Surname  Gender  M  F  
 Name  Date of birth:   
 ID number

Date of admission   
 Date of operation   
 Date of discharge/death

Surgeon 1  
  
 Surgeon 2

BACTS-data TAVI-data AFib-data Personal data

Preoperative data Operative data Postoperative data

Cardiac History Previous Intervention Risk Factors for CAD Comorbidity Haemodynam. and Catheterisation Preop Status and Support **EuroSCORE**

Age:  years

Gender

**Logistic EuroSCORE**  
 %

Serum creat. > 2,2 mg/dl

Active endocarditis  - Patient still under antibiotic treatment for endocarditis at time of surgery

Critical condition  - Ventricular tachycardia/fibrillation, reanimation, ventilation, inotropic support, IABP, acute renal failure

Unstable angina  - Requiring IV nitrates

Recent myocard. infarction  < 90 days

Pulmonary hypertension  - syst. PAP > 60 mmHg

LVEF 30-50%

LVEF <30%

Emergency (< 24h after admission)

Other than isolated CABG

Surgery of the thoracic aorta

Postinfarction VSD

COPD  - Longterm use of bronchodilators/steroids

Peripheral vascular disease  - Claudication, stenotic carotid artery >50%, surgery of abdominal aorta or peripheral arteries

Neurologic dysfunction  - Disabled in walking or daily life functioning

Previous heart surgery

# Belgian Association for CardioThoracic Surgery

## BACTS Cardiac Database



List of patients



Generating Excel-file

### Patient:

Surname  Gender  M  F  
Name  Date of birth:   
ID number

Date of admission

Date of operation

Date of discharge/death

### Surgeon 1

### Surgeon 2

BACTS-data TAVI-data AFib-data Personal data

Preoperative data Operative data Postoperative data

Complications Discharge details Follow-up

Reoperation

New postop stroke

New postop dialysis

Multi system failure

# Belgian Association for CardioThoracic Surgery

## BACTS Cardiac Database



List of patients



Generating Excel-file

**Patient:** Surname  Gender  M  F  
Name  Date of birth:   
ID number

Date of admission   
Date of operation   
Date of discharge/death

Surgeon 1  
  
Surgeon 2

BACTS-data TAVI-data AFib-data Personal data

Preoperative data Operative data Postoperative data

Complications Discharge details Follow-up

Date of discharge/death

Patient status at discharge

Destination at discharge

Primary cause of death

# Belgian Association for CardioThoracic Surgery

## BACTS Cardiac Database



List of patients



Generating Excel-file

### Patient:

Surname  Gender  M  F  
Name  Date of birth:   
ID number

Date of admission

Date of operation

Date of discharge/death

### Surgeon 1

### Surgeon 2

BACTS-data TAVI-data AFib-data Personal data

Preoperative data Operative data Postoperative data

Complications Discharge details Follow-up

Status at follow-up

Alive

Deceased

Date of last follow-up  (preferentially > 30 days postop.)



# Belgian Association for CardioThoracic Surgery

BACTS Cardiac Database

[Back to database](#)

year of data

[Generate Excel-file for private use](#) [Generate anonymous BACTS-file](#)

Data generated: 10/05/2011 23:07:19

# BACTS 2012 Registry Timeframe

- 15th BACTS Congress: announcement
- February 24: Extensive presentation
  - Final Version: Data fields, definitions, format
  - Beta version of FP11-file
- Spring 2011: Start implementation of registry in all centers
- Mid 2011: final version FP-11 file
- January 1, 2012: BACTS 2012 Registry goes live
- CPT registration stops

# BACTS 2012 Registry

- Risk-adjusted outcomes analysis
- Improvement of quality of care

The European Association for  
Cardio-Thoracic Surgery

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**Fourth**  
**EACTS Adult Cardiac**  
**Surgical Database Report**  
**2010**

*Towards global benchmarking*

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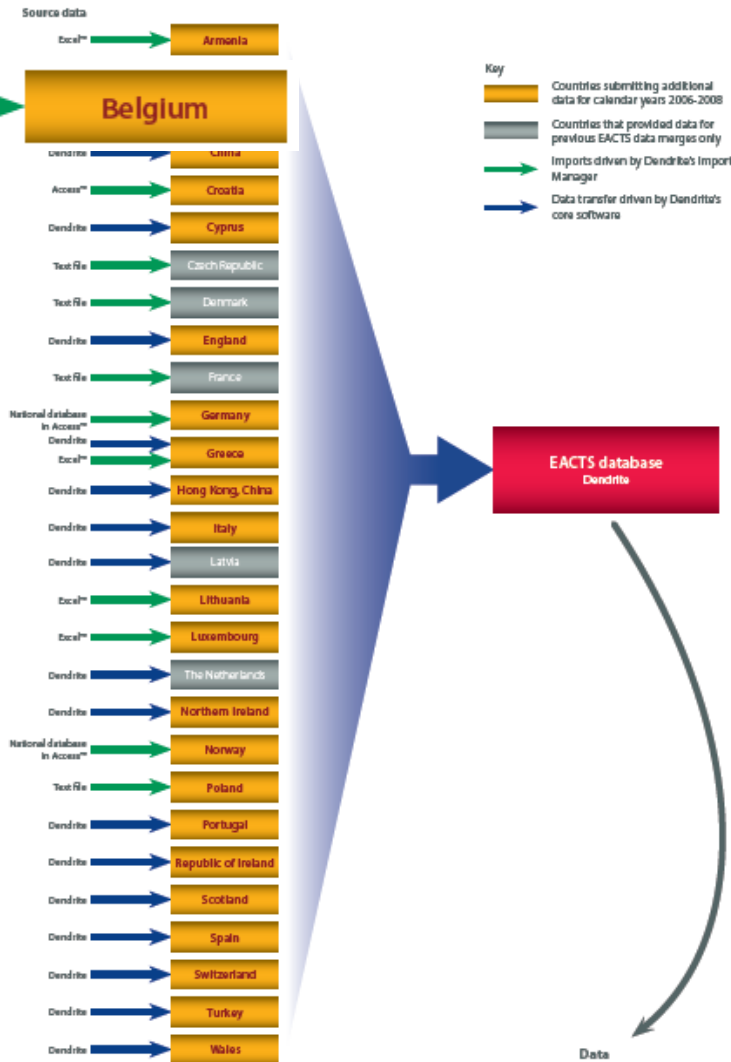
**Ben Bridgewater & Jan Gummert**  
*on behalf of the European Association  
for Cardio-Thoracic Surgery*

**Peter K.H. Walton & Robin Kinsman**  
*Dendrite Clinical Systems Ltd*



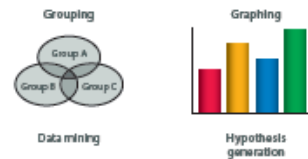


National database in Access™



Import, merging and analysis

Data analysis



The European Association for Cardio-Thoracic Surgery

Fourth EACTS Adult Cardiac Surgical Database Report 2010

Towards global benchmarking

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President: Dr. J. C. C. van der Wal  
Secretary: Dr. J. C. C. van der Wal  
Treasurer: Dr. J. C. C. van der Wal

# Consumer Comprehension of Surgeon Performance Data for Coronary Bypass Procedures

Karen Donelan, ScD, Robert S. Rogers, BA, Andy Eisenhauer, MD, Elizabeth Mort, MD, MPH, and Arvind K. Agnihotri, MD

Mongan Institute for Health Policy, Massachusetts General Hospital, Boston; Division of Cardiology, Brigham and Women's Hospital, Boston; Department of Surgery, Division of Cardiac Surgery, Massachusetts General Hospital Heart Center, Boston; Harvard Medical School, Boston; and Department of Quality and Safety, Massachusetts General Hospital, Boston, Massachusetts

**Background.** Public and private organizations have called for increased transparency in reporting of outcomes data for hospitals and surgeons, including risk-adjusted coronary artery bypass graft surgery (CABG) mortality data. Limited information is available about how the public actually interprets these data.

**Methods.** Four different graphical and tabular displays of CABG outcomes for surgeons, three of which were modeled on current state public reporting, were shown to 337 adults. Each display contained information about two hypothetical surgeons. For each format, respondents were asked to choose which surgeon they would be least likely to choose based on the information provided. They were also asked questions about their confidence in their choice.

**Results.** Accurate identification of the surgeon with the lowest risk-adjusted mortality varied by display format, with 71% for one display and a low of 16% for another. Only 16% identified the surgeon with the lowest risk-adjusted mortality across all four displays. Respondents with college education were significantly

more likely to identify the surgeon with the lowest risk-adjusted mortality, compared with respondents having no college education (21% to 72% vs. 9% to 59%;  $p < 0.01$ ). In one display, the surgeon with the lowest risk-adjusted mortality was effectively penalized for taking on higher-risk patients; respondents tended to select the surgeon with the lowest-risk population but the highest risk-adjusted mortality. Overall, 82% of respondents said that access to these

**Conclusions.** Comprehension by the public of risk-adjusted CABG outcomes is limited and varies by display format. Poorly constructed displays may have led to misinterpretation, with potential unintended adverse consequences such as risk aversion. Further work is needed to design displays that maximize accurate interpretation by the public and more clearly define the risk and benefit of public reporting of surgeon performance.

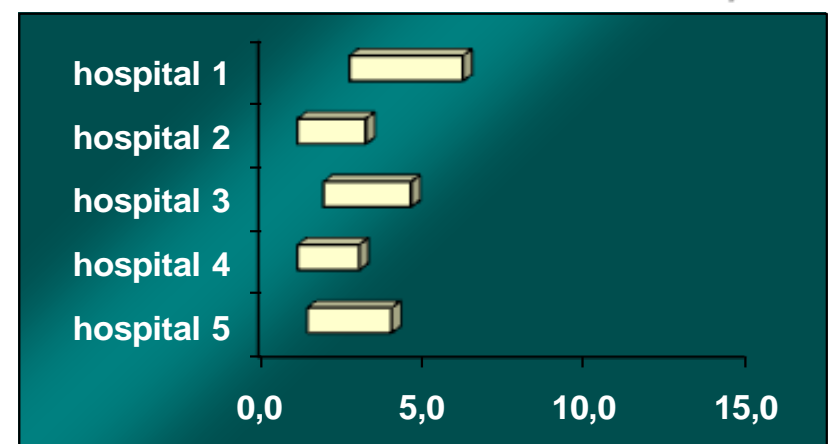
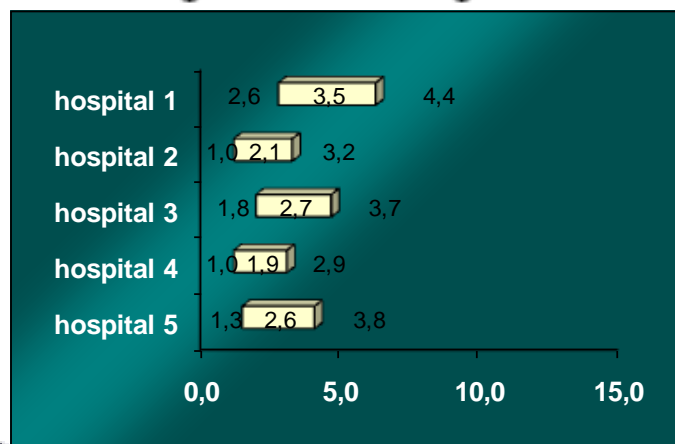
# 30 day - Risk adjusted mortality for isolated CABG



*Tabel 2 30 dages dødelighed efter isoleret CABG 2004-2005 justeret for Euroscore*

Center	Antal indgreb i analysen	Dødelighed uden justering (%)	Dødelighed justeret (%)	95% sikkerhedsgrænser
Rigshospitalet	1252	3.0	3.5	(2,6-4,4)
Gentofte	915	1.7	2.1	(1,0-3,2)
Odense	768	3.3	2.7	(1,8-3,7)
Skejby	835	2.3	1.9	(1,0-2,9)
Aalborg	556	2.7	2.6	(1,3-3,8)
Total	4326	2.6		

\*P-værdi for afvigelse fra landsgennemsnittet. Samlet test for forskel mellem centre: P= 0,21





# Society for Cardiothoracic Surgery in Great Britain & Ireland

## The Society for Cardiothoracic Surgery in Great Britain & Ireland Sixth National Adult Cardiac Surgical Database Report



Table 4a. **All cardiac surgery.** Results of cardiac surgery displayed on the Healthcare Commission website; 3 years of data to the end of March 2007. Compared to the complex re-calibrated logistic *EuroSCORE* with 99% CIs

	Counts	Deaths	Actual mortality	Predicted mortality	Upper CI	Lower CI
Aberdeen Royal Infirmary	1,665	69	4.1%	4.3%	5.9%	2.9%
Bart's & the London	4,927	168	3.4%	4.1%	5.0%	3.3%
Blackpool Victoria Hospital	2,938	82	2.8%	3.2%	4.2%	0.4%
Bristol Royal Infirmary	4,328	119	2.7%	3.2%	4.1%	2.4%
Castle Hill Hospital, Hull	2,809	110	3.9%	3.2%	4.3%	2.3%
Derriford Hospital, Plymouth	2,705	87	3.2%	3.3%	4.3%	2.3%
Edinburgh Royal Infirmary	2,713	113	4.2%	3.7%	4.8%	2.6%
Freeman Hospital, Newcastle	3,029	112	3.7%	4.1%	5.2%	3.1%



# Public reporting

- Unsolved methodological problems
- Unintended consequences
  
- Pitfalls



# Public reporting

- Pitfalls
  - Ranking of centers/surgeons
  - Gaming: patient selection
  - Up-scoring
  - Limitations of scoring-systems: no adequate correction for procedural/patient complexity
  - Focus on risk, not on quality of procedure

# Does reporting of coronary artery bypass grafting from administrative databases accurately reflect actual clinical outcomes?

Michael J. Mack, MD, Morley Herbert, PhD, Syma Prince, RN, Todd M. Dewey, MD, Mitchell J. Magee, MD, and James R. Edgerton, MD

**Objectives:** Quality assessment of coronary artery bypass grafting has traditionally been performed with data from clinical databases. Administrative databases that rely primarily on information collected for billing purposes increasingly have been used as tools for public reporting of outcomes quality. The correlation of administrative data with clinical data for clinical quality assessment has not been confirmed.

**Conclusions:** Substantial variability of reported outcomes is seen in administrative data sets compared with an audited clinical database in the end points of the number of procedures performed and mortality. This variability makes it challenging for the nonclinician unfamiliar with outcomes analysis to make an informed decision.

# Administrative databases

- Build for financial purposes
- Non-clinician extracts data from medical records
- Codes
  - DRG: allocation to highest paying DRG
  - ICD-9
  - MKG/RCM
  - MFG/RFM
  - RIZIV/INAMI
- Code order



# Administrative databases

- Limitations
  - Procedural groups
  - Date of surgery / discharge
  - Risk factors / Complications
  - Risk stratification
  - Outcomes
- Not accurate for
  - Auditing the quality of care
  - Risk adjusted outcome analysis

# KCE report

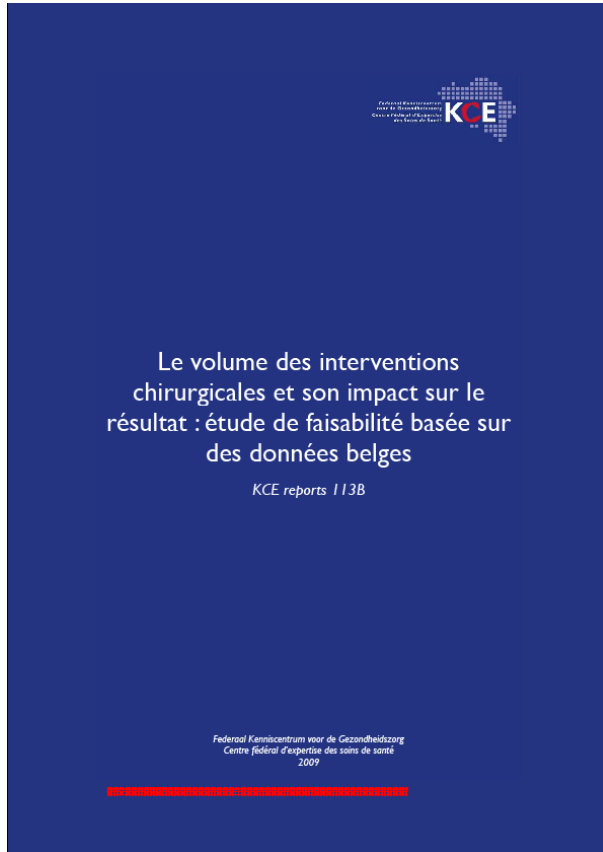
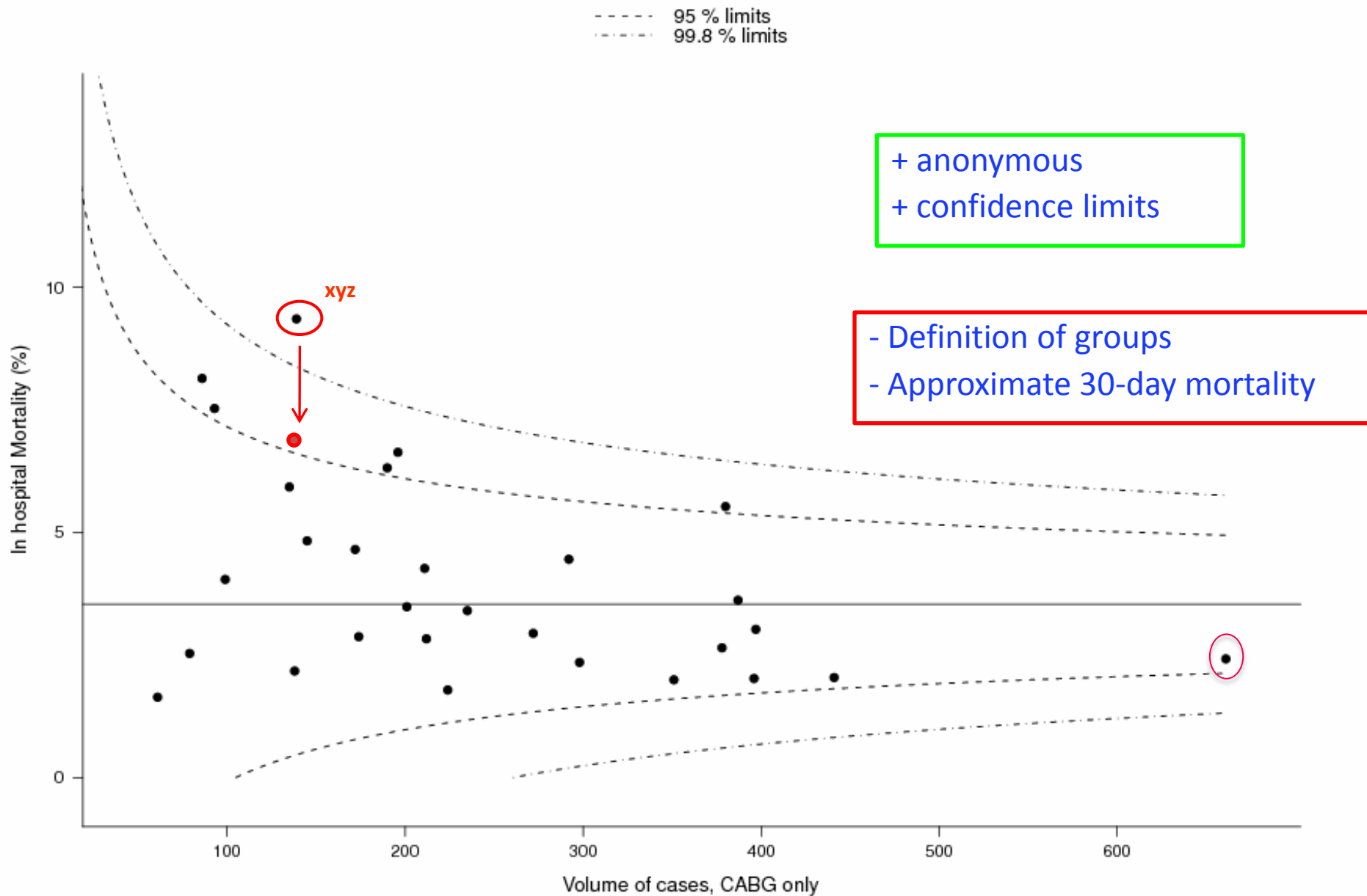


Figure 6.11: Funnel plot of the in-hospital mortality by center after isolated CABG



# Quality control

- Complex process:
  - Correction variability of pathology
  - Correction variability of clinical condition,
  - Correction variability of procedural complexity
- Outlier identification
  - Secondary process is mandatory
  - Quality of the data
  - Identification of unusual variability in subset of patients.

# Procedure of outlier confirmation

- Presumed outlier
  - Internal check of registry
  - Invitation of centre by database manager (Carine)
  - Two steps
    - Review of the quality of the data
    - review of cases with negative outcome: unusual variability/risk records are excluded in the analysis
- Confirmed outlier
  - Remedial processes: not the task of the database committee
  - Confidentiality by database committee

# Procedure of outlier confirmation

- Adaptation of MOU
  - Procedure has to be described
  - Invitation: voluntary participation in data check, centre ask involvement of the database committee
  - Presumed outlier – confirmed outlier
- Proposal of new MOU
  - To be discussed in the board
  - To be approved during the general assembly

# Conclusion

- The ultimate goal of the database committee is quality improvement
- The BACTS 2012 registry could lead to a better quality of care
- The aggregated report will be available in the public domain
  - Available for everybody.
  - Only the **aggregated report** will be visible.
  - The data are **anonymous**
  - The database committee guarantees the **confidentiality** as described in the memory of understanding.